PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of Docket No: Q96039

Koichi SHIMOKAWA

Appln. No.: 10/586,150 Group Art Unit: 1764

Confirmation No.: 5629 Examiner: not yet assigned

Filed: October 18, 2006

For: LUBRICANT FOR MAGNETIC DISKS, METHOD FOR PRODUCING THE

LUBRICANT USED IN THE MAGNETIC DISKS, AND METHOD FOR

MANUFACTURING THE SAME

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

ATTN: Office of Initial Patent Examination

Filing Receipt Correction Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

We enclose a copy of the Official Filing Receipt for the above-identified application and request the following correction:

Assignment For Published Patent Application: Hoya Corporation

Verification for the requested correction is indicated on the Assignment filed October 18, 2006

Respectfully submitted,

Alan J. Kasper Registration No. 25,426

SUGHRUE MION, PLLC Telephone: (202) 293-7060 Facsimile: (202) 293-7860

WASHINGTON OFFICE 23373
CUSTOMER NUMBER

Date: October 11, 2007



UNITED STATES PATENT AND TRADEMARK OFFICE

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| i | APPL NO. | FILING OR 371(c) DATE | ART UNIT | FIL FEE REC'D | ATTY.DOCKET NO | TOT CLMS | IND CLMS | |
| | 10/586,150 | 10/18/2006 | 1764 | 1030 | 096039 | 15 | | |

CONFIRMATION NO. 5629

23373 SUGHRUE MION, PLLC 2100 PENNSYLVANIA AVENUE, N.W. SUITE 800 WASHINGTON, DC 20037

DOCKETED

FILING RECEIPT
OC00000025891960

Date Mailed: 09/21/2007

Receipt is acknowledged of this nonprovisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filling Receipt, please write to the Office of Initial Patent Examination's Filling Receipt Corrections. Please provide a copy of this Filling Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filling Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filling Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Koichi Shimokawa, Tokyo, JAPAN;

Assignment For Rublished Ratent Application: Hoya Corporation

Power of Attorney: The patent practitioners associated with Customer Number 23373.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP05/00214 01/12/2005

Foreign Applications

JAPAN 2004-006315 01/14/2004

If Required, Foreign Filing License Granted: 09/18/2007

The country code and number of your priority application, to be used for filling abroad under the Paris Convention, is US10/586,150

Projected Publication Date: 12/27/2007

Non-Publication Request: No

Early Publication Request: No

Lubricant for Magnetic Disks, Method for Producing the Lubricant Used in the Magnetic Disks, and Method for Manufacturing the Same

Preliminary Class

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PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES.

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filing of patent applications on the same invention in member countries, but does not result in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

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Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/dod/general/Index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotiline at 1-868-999-HALT (1-868-999-4168).

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Assignment

Whereas, I/We, KOICHI SHIMOKAWA of Tokyo, Japan, hereinafter called assignor(s). have invented certain improvements in LUBRICANT FOR MAGNETIC DISKS, METHOD FOR PRODUCING THE LUBRICANT USED IN THE MAGNETIC DISKS, AND METHOD FOR MANUFACTURING THE SAME as set forth in an application for Letters Patent of the United States of America corresponding to international application No. PCT/JP2005/000214 filed on January 12, 2005; and Whereas, HOYA CORPORATION. 7-5. Naka-Ochiai 2-chome, Shinjuku-ku, Tokyo 161-8525, Japan (assignee), desires to acquire the entire right, title, and interest in the application and invention, and to any United States patents to be obtained therefor; Now therefore, for valuable consideration, receipt whereof is hereby acknowledged, I/We, the above named assignor(s), hereby sell, assign and transfer to the above named assignee, its successors and assigns, the entire right, title and interest in the application and the invention disclosed therein for the United States of America, including the right to claim priority under 35 U.S.C. §119, and I/we request the Director - U.S. Patent and Trademark Office to issue any Letters Patent granted upon the invention set forth in the application to the assignee, its successors and assigns; and I/we will execute without further consideration all papers deemed necessary by the assignee in connection with the United States application when called upon to do so by the assignee. I/We hereby authorize and request our attorneys SUGHRUE MION, PLLC of 2100 Pennsylvania Avenue, NW, Washington, DC 20037-3213 to insert here in parentheses (Application number 10/586,150 and Confirmation number , filed July 14, 2006) the filing date and application number of said application when known. Date: September 22, 2006 Date: Date: Date:

(Legalization not required for recording but is prima facie evidence of execution under 35 U.S.C. §261)

Date: